

FINANCIAL DISCLOSURE STATEMENT

Name of Public Officer or Candidate:

David Gass

Address: (Please not: this address is public information and not subject to redaction)

1501 W Washington Phoenix AZ 85007

Public Office Held or Sought:

Judge of the Court of Appeals Division I

District / Division Number (if applicable):

One

Please check the appropriate box that reflects your service for this filing year:

- ☒ I am a public officer filing this Financial Disclosure Statement covering the 12 months of calendar year 2020.
- ☐ I have been appointed to fill a vacancy in a public office within the last 60 days and am filing this Financial Disclosure Statement covering the 12 month period ending with the last full month prior to the date I took office.
- ☐ I am a public officer who has served in the last full year of my final term, which expires less than thirty-one days into calendar year 2021. This is my final Financial Disclosure Statement covering the last 12 months plus the final days of my term for the current year.
- ☐ I am a candidate for a public office, and am filing this Financial Disclosure Statement covering the 12 months preceding the date of this statement, from the month of January, 2020, to the month of January, 2021.

VERIFICATION

- ☒ I verify under penalty of perjury that the information provided in this Financial Disclosure Statement is true and correct.

/S/ David Gass 1/24/2021

Signature of Public Officer or Candidate

A. PERSONAL FINANCIAL INTERESTS

This section requires disclosure of your financial interests and/or the financial interests of the member(s) of your household.

1. Identification of Household Members and Business Interests

What to disclose: If you are married, is your spouse a member of your household? ☒ Yes ☐ No ☐ N/A (If not married/widowed, select N/A)

Are any minor children members of your household? ☐ Yes (if yes, disclose how many) ☐ ☒ No

For the remaining questions in this Financial Disclosure Statement, the term "member of your household" or "household member" will be defined as the person (s) who correspond to your "yes" answers above.

2. Sources of Personal Compensation

What to disclose: In subsection (2)(a), provide the name and address of any employer and/or any other source of compensation who provided you or any member of your household more than \$1,000 (other than "Gifts") during the period covered by this report*. Describe the nature of each and the type of services for which you or a member of your household were compensated.

* Compensation is defined as "anything of value or advantage, present or prospective, including the forgiveness of debt." [A.R.S. § 38-541\(2\)](#).

In subsection (2)(b), if applicable, list anything of value that any other person (outside your household) received for your, or a member of your household's, use or benefit. For example, if a person was paid by a third-party to be your personal housekeeper, identify that person, describe the nature of that person's services that benefited you, and provide information about the third-party who paid for the services on your behalf. **You need not disclose** income of a business, including money you or any member of your household received that constitutes income paid to a business that you or your household member owns or does business as. This type of business income will be disclosed in Question 12.

Subsection (2)(a):

PUBLIC OFFICER OR HOUSEHOLD MEMBER BENEFITED	NAME AND ADDRESS OF SOURCE WHO PROVIDED COMPENSATION > \$1,000 > \$1,000	NATURE OF SOURCE OR EMPLOYER'S BUSINESS	NATURE OF SERVICES PROVIDED BY PUBLIC OFFICER OR HOUSEHOLD MEMBER
Spouse	Blue Cross Blue Shield of Arizona 2044 W Palmaritas Dr; Phoenix, AZ 85021	Health Insurance	Legal
David Gass	State of Arizona 1501 West Washington Street; Phoenix, AZ 85007	Government	Judicial

Subsection (2)(b) (if applicable):

PUBLIC OFFICER OR HOUSEHOLD MEMBER BENEFITED	NAME AND ADDRESS OF PERSON WHO PROVIDED SERVICES VALUED OVER \$1,000 FOR YOUR OR YOUR HOUSEHOLD MEMBER'S USE OR BENEFIT	NATURE OF SERVICES PROVIDED BY PERSON FOR YOUR OR YOUR HOUSEHOLD MEMBER'S USE OR BENEFIT	NAME AND ADDRESS OF THIRD PARTY WHO PAID FOR PERSON'S SERVICES ON YOUR OR YOUR HOUSEHOLD MEMBER'S BEHALF
N/A	N/A	N/A	N/A

3. Professional, Occupational and Business Licenses

What to disclose: List all professional, occupational or business licenses held by you or any member of your household at any time during the period covered by this Financial Disclosure Statement.

This includes licenses in which you or a member of your household had an "interest," which includes (but is not limited to) any business license held by a "controlled" or "dependent" business as defined in Question 12.

PUBLIC OFFICER OR HOUSEHOLD MEMBER	TYPE OF LICENSE	PERSON OR ENTITY HOLDING THE LICENSE	JURISDICTION OR ENTITY THAT ISSUED LICENSE
David Gass	State Bar of Arizona	David Gass	State Bar of Arizona
David Gass	Federal District Court of Arizona	David Gass	Federal District Court of Arizona
Spouse	Federal District Court of Arizona	Spouse	Federal District Court of Arizona
David Gass	9th Circuit Court of Appeals	David Gass	9th Circuit Court of Appeals
Spouse	9th Circuit Court of Appeals	Spouse	9th Circuit Court of Appeals
David Gass	Supreme Court of the United States	David Gass	Supreme Court of the United States
Spouse	Supreme Court of the United States	Spouse	Supreme Court of the United States
Spouse	State Bar of Arizona	Spouse	State Bar of Arizona

4. Personal Creditors

What to disclose: The name and address of each creditor to whom you or a member of your household owed a qualifying personal debt over \$1,000 during any point during the period covered by this Financial Disclosure Statement.

Additionally, if the qualifying personal debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the applicable box to indicate whether it was incurred or discharged. Otherwise, check the box for "N/A" if the debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need not disclose the following, which do not qualify as "personal debt":

- Debts resulting from the ordinary conduct of a business (these will be disclosed in Section B);
- Debts on any personal residence or recreational property;
- Debts on motor vehicles used primarily for personal purposes (not commercial purposes);
- Debts secured by cash values on life insurance;
- Debts owed to relatives;
- Personal credit card transactions or the value of any retail installment contracts you or your household member entered into.

PUBLIC OFFICER OR HOUSEHOLD MEMBER OWING THE DEBT	NAME AND ADDRESS OF CREDITOR (OR PERSON TO WHOME PAYMENTS ARE MADE)	DISCLOSE IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD
David Gass	Nationwide Retirement Solutions 4747 N 7th Street, Suite 418, Phoenix, AZ 85014	Incurred 07/15/2018

Memo:

Unsecured Loan used for primary residence home improvement with a 36-month term

5. Personal Debtors

What to disclose: The name of each debtor who owed you or a member of your household a debt over \$1,000 at any time during the period covered by this Financial Disclosure Statement, along with the approximate value of the debt by financial category.

Additionally, if the debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the box to indicate whether it was incurred or discharged. Otherwise, select "N/A" (for "not applicable") if the debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

PUBLIC OFFICER OR HOUSEHOLD MEMBER OWED THE DEBT	NAME OF DEBTOR	APPROXIMATE VALUE OF DEBT	DISCLOSE IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD
N/A	N/A	N/A	N/A

Memo:
Not Applicable.

6. Gifts

What to disclose: The name of the donor who gave you or a member of your household a single gift or an accumulation of gifts during the preceding calendar year with a cumulative value over \$500, subject to the exceptions listed in the below "You need not disclose" paragraph. A "gift" means a gratuity (tip), special discount, favor, hospitality, service, economic opportunity, loan or other benefit received without adequate consideration (reciprocal value) and not provided to members of the public at large (in other words, a personal benefit you or your household member received without providing an equivalent benefit in return).

Please note: the concept of a "gift" for purposes of this Financial Disclosure Statement is separate and distinct from the gift restrictions outlined in Arizona's lobbying statutes. Thus, disclosure in a lobbying report does not relieve you or a member of your household's duty to disclose gifts in this Financial Disclosure Statement.

You need not disclose the following, which do not qualify as "gifts":

- Gifts received by will;
- Gift received by intestate succession (in other words, gifts distributed to you or a household member according to Arizona's intestate succession laws, not by will);
- Gift distributed from an *inter vivos* (living) or testamentary (by will) trust established by a spouse or family member;
- Gifts received from any other member of the household;
- Gifts received from parents, grandparents, siblings, children and grandchildren; or
- Political campaign contributions reported on campaign finance reports.

PUBLIC OFFICER OR HOUSEHOLD MEMBER WHO RECEIVED GIFT(S) OVER \$500	NAME OF GIFT DONOR
N/A	N/A

Memo:

These entities paid for my conference registration. Casey Organization also paid my travel, some food, and room accommodations as disclosed in the response to question 11.

7. Office, Position or Fiduciary Relationship in Businesses, Nonprofit Organizations or Trusts

What to disclose: The name and address of each business, organization, trust or nonprofit organization or association in which you or any member of your household held any office, position, or fiduciary relationship during the period covered by this Financial Disclosure Statement, including a description of the office, position or relationship.

PUBLIC OFFICER OR HOUSEHOLD MEMBER HAVING THE REPORTABLE RELATIONSHIP	NAME AND ADDRESS OF BUSINESS, ORGANIZATION, TRUST, OR NONPROFIT ORGANIZATION OR ASSOCIATION	DESCRIPTION OF OFFICE, POSITION OR FIDUCIATRY RELATIONSHIP HELD BY THE PUBLIC OFFICER OR HOUSEHOLD MEMBER
Spouse	KG Corgi LLC 1724 W Steinway Dr; Phoenix, AZ 85041	Managing Member
David Gass	KG Corgi LLC 1724 W Steinway Dr; Phoenix, AZ 85041	Member

Memo:

KG Corgi LLC holds title to a townhouse. David Gass's brother, a disabled veteran, lived in the townhouse.

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

What to disclose: The name and address of each business, trust, or investment fund in which you or any member of your household had an ownership or beneficial interest of over \$1,000 during the period covered by this Financial Disclosure Statement. This includes stocks, annuities, mutual funds, or retirement funds. It also includes any financial interest in a limited liability company, partnership, joint venture, or sole proprietorship. Also, check the box to indicate the value of the interest.

PUBLIC OFFICER OR HOUSEHOLD MEMBER HAVING THE INTEREST	NAME AND ADDRESS OF BUSINESS, TRUST OR INVESTMENT FUND	DESCRIPTION OF THE BUSINESS, TRUST OR INVESTMENT FUND	APPROXIMATE EQUITY VALUE OF THE INTEREST
David Gass Spouse	KG CORGI LLC 1724 W Steinway Dr; Phoenix, AZ 85041	KG Corgi LLC holds title to a townhouse. David Gass's brother, a disabled veteran, lives in the townhouse.	\$100,001 +
David Gass	Fidelity Contrafund K6 Cincinnati, OH	Mutual Fund	\$25,001 - \$100,000
David Gass	Fidelity 500 Index Cincinnati, OH	Mutual Fund	\$100,001 +
David Gass	Fidelity MdCap Index Cincinnati, OH	Mutual Fund	\$25,001 - \$100,000
David Gass	Dodge & Cox International Stock Fund Boston, MA	Mutual Fund	\$1,000 - \$25,000
David Gass	American Funds Cap Wld Gr Inc R6 Los Angeles, CA	Mutual Fund	\$1,000 - \$25,000
David Gass	T Rowe Price MidCap Growth Advance Baltimore, MD	Mutual Fund	\$25,001 - \$100,000
David Gass	T. Rowe Price Small Cap Value Fund INV CI N/L (PRSVX) Baltimore, MD	Mutual Fund	\$1,000 - \$25,000
David Gass	Nuance Mid Cap Value Fund Inst N/L (NMVLX) Kansas City, MO	Mutual Fund	\$1,000 - \$25,000
David Gass	Arizona Fixed Income Fund; Nationwide Phoenix, AZ	Money Market	\$1,000 - \$25,000
David Gass	PIMCO Low Duration Fund Class I2 N/L (PLDPX) New York, NY	Stocks	\$1,000 - \$25,000

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

David Gass	Raymond James Bank Deposit Program St. Petersburg, FL	Cash Account	\$1,000 - \$25,000
David Gass	PGIM High Yield Fund CL Z N/L (PHYZX) Newark, NJ	Mutual Fund	\$1,000 - \$25,000
David Gass	Western Asset Total Return Unconstrained Fund CLS I N/L (WAARX) New York, NY	Common Stock	\$1,000 - \$25,000
David Gass	Elected Officials Retirement Plan Phoenix, AZ	Retirement Fund	\$100,001 +
David Gass Spouse	Bancorp 34 Albuquerque, NM	Preferred Stock	\$1,000 - \$25,000
David Gass Spouse	Raymond James Bank Deposit Program St. Petersburg, FL	Cash Account	\$25,001 - \$100,000
David Gass Spouse	T Rowe Price Capital Appreciation Fund Retail Baltimore, MD	Mutual Fund	\$1,000 - \$25,000
David Gass Spouse	Delaware Value Fund Institutional Class Philadelphia, PA	Mutual Fund	\$1,000 - \$25,000
David Gass Spouse	First Eagle Global Class 1 New York, NY	Mutual Fund	\$1,000 - \$25,000
Spouse	American Funds Growth Fund of America (Sold in 2020) Indianapolis, IN	Mutual Fund	\$25,001 - \$100,000
Spouse	American Funds Income Fund of America (Sold in 2020) Indianapolis, IN	Mutual Fund	\$25,001 - \$100,000
Spouse	American Fnds Washington Mutual Fund (Sold in 2020) Indianapolis, IN	Mutual Fund	\$25,001 - \$100,000
Spouse	Colgate-Palmolive Company (Sold in 2020) New York, NY	Common Stock	\$1,000 - \$25,000
Spouse	Kraft Foods, Inc. (Sold in 2020) Northfield, IL	Common Stock	\$25,001 - \$100,000

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

Spouse	American Funds Balanced Fund (Sold in 2020) Indianapolis, IN	Mutual Fund	\$25,001 - \$100,000
Spouse	American Funds Capital Income Builder Fund (Sold in 2020) Indianapolis, IN	Mutual Fund	\$100,001 +
Spouse	Vornado Realty Trust Series L (Sold in 2020) New York, NY	Preferred Stock	\$25,001 - \$100,000
Spouse	AT&T, Incorporated (Sold in 2020) Dallas, TX	Common Stock	\$1,000 - \$25,000
Spouse	Royal Dutch Shell, PLC Spons. ADR A (Sold in 2020) London, United Kingdom	Common Stock	\$1,000 - \$25,000
Spouse	Royal Dutch Shell, PLC Spons. ADR B (Sold in 2020) London, United Kingdom	Common Stock	\$25,001 - \$100,000
Spouse	American Funds European Pacific Fund (Sold in 2020) Indianapolis, IN	Mutual Fund	\$1,000 - \$25,000
Spouse	Cohen and Steers Quality (Sold in 2020) New York, NY	Mutual Fund	\$1,000 - \$25,000
Spouse	Financial Security Assurance Holdings (Sold in 2020) New York, NY	Preferred Stock	\$1,000 - \$25,000
Spouse	Merril Lynch Bank USA RASP (held by FIA Card Servs; Wilmington, DE) (Closed in 2020) New York, NY	Money Account	\$25,001 - \$100,000
Spouse	Fidelity/Freedom 2020 K (Sold in 2020) Cincinnati, OH	Mutual Fund	\$100,001 +
Spouse	Dodge and Cox Income (Sold in 2020) Boston, MA	Mutual Fund	\$100,001 +
Spouse	State Street Corp. (Sold in 2020) Boston, MA	Preferred Stock	\$1,000 - \$25,000
David Gass	Mondelez International, Inc. (Sold in 2020) Deerfield, IL	Common Stock	\$25,001 - \$100,000

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

Spouse	American Funds Capital World Growth Income (Sold in 2020) Indianapolis, IN	Mutual Fund	\$100,001 +
Spouse	Yum Brands Inc. (Sold in 2020) Louisville, KY	Common Stock	\$1,000 - \$25,000
Spouse	XCEL Energy, Inc. (Sold in 2020) Minneapolis, MN	Common Stock	\$1,000 - \$25,000
Spouse	Southern Company (Sold in 2020) Atlanta, GA	Common Stock	\$1,000 - \$25,000
Spouse	Clearbridge Energy MLP Opp. Fund Inc. (Sold in 2020) New York, NY	Mutual Fund	\$25,001 - \$100,000
Spouse	Emerson Electric (Sold in 2020) St. Louis, MO	Common Stock	\$1,000 - \$25,000
Spouse	Pitney Bowes (Sold in 2020) Stamford, CT	Preferred Stock	\$25,001 - \$100,000
Spouse	Public Storage (Sold in 2020) Glendale, CA	Preferred Stock	\$25,001 - \$100,000
Spouse	Sector SPDR Utilities, XLU (Sold in 2020) Boston, MA	Stocks	\$25,001 - \$100,000
Spouse	BCE, Inc. (Sold in 2020) Verdun, Quebec, Canada	Common Stock	\$1,000 - \$25,000
Spouse	American Water Works Company, Inc. (Sold in 2020) Voorhees, NJ	Common Stock	\$1,000 - \$25,000
Spouse	Select Sector SPDR TR (Sold in 2020) Boston, MA	Stocks	\$1,000 - \$25,000
Spouse	General Electric (Sold in 2020) Fairfield, CT	Common Stock	\$1,000 - \$25,000
Spouse	FT NASDAQ US MA DIVERS (Sold in 2020) New York, NY	Stocks	\$1,000 - \$25,000
Spouse	American Electric Power Co. (Sold in 2020) Columbus, OH	Common Stock	\$1,000 - \$25,000

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

Spouse	Fidelity International Index IPR (FSPSX) (Sold in 2020) Cincinnati, OH	Stocks	\$1,000 - \$25,000
Spouse	Harbor MDCR Val Inst (HAMVX) (Sold in 2020) Chicago, IL	Stocks	\$25,001 - \$100,000
Spouse	Blue Cross Blue Shield of Arizona Retirement Fund Phoenix, AZ	Retirement Fund	\$100,001 +
David Gass	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I N/L (MWTIX) Los Angeles, CA	Bond Fund	\$1,000 - \$25,000
David Gass	Champlain Mid Cap Fund Inst Cls N/L (CIPIX) Kansas City, MO	Mutual Fund	\$1,000 - \$25,000
David Gass	American Mutual Fund Cls F2 - American Funds N/L (AMRFX) Los Angeles, CA	Mutual Fund	\$1,000 - \$25,000
David Gass	Dodge and Cox Income Fund N/L (DODIX) Kansas City, MO	Mutual and Bond Fund	\$1,000 - \$25,000
David Gass	EuroPacific Growth Fund Cls F2 American Funds N/L (AEPFX) Los Angeles, CA	Mutual Fund	\$1,000 - \$25,000
David Gass	LOOMIS SAYLES GROWTH FUND CLASS Y N/L - NATIXIS ADVISOR (LSGRX) Boston, MA	Mutual Fund	\$1,000 - \$25,000
David Gass	ISHARES TR CORE US AGGBD ET (AGG) San Francisco, CA	Exchange Traded Product	\$1,000 - \$25,000
David Gass	ISHARES TR MSCI USA MIN VOL (USMV) San Francisco, CA	Exchange Traded Product	\$1,000 - \$25,000
David Gass	ISHARES TR CORE MSCI EAFE (IEFA) San Francisco, CA	Exchange Traded Product	\$1,000 - \$25,000
David Gass	VANGUARD HIGH DIVIDEND YIELD ETF (VYM) Malvern, PA	Exchange Traded Product	\$1,000 - \$25,000

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

David Gass	VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO) Malvern, PA	Exchange Traded Product	\$1,000 - \$25,000
Spouse	VANGUARD IS TOT Intl Stk Malvern, PA	Mutual Fund	\$100,001 +
Spouse	Blackrock (BTC) Total Ret Bond M New York, NY	Bond Fund	\$100,001 +
Spouse	Raymond James Bank Deposit Program St. Petersburg, FL	Cash Account	\$25,001 - \$100,000
Spouse	Fidelity/Growth Co K Pool Cincinnati, OH	Mutual Fund	\$100,001 +
Spouse	Spartan 500 Index Pool Class D Boston, MA	Mutual Fund	\$100,001 +
Spouse	AIR PRODUCTS & CHEMICALS INCORPORATED (APD) Allentown, PA	Stock	\$25,001 - \$100,000
Spouse	ALPHABET INCORPORATED CAP STK CLASS A (GOOGL) Mountain View, CA	Stock	\$25,001 - \$100,000
Spouse	AMAZON COM INCORPORATED (AMZN) Seattle, WA	Stock	\$25,001 - \$100,000
Spouse	APPLE INCORPORATED (AAPL) Cupertino, CA	Stock	\$1,000 - \$25,000
Spouse	BROADCOM INCORPORATED (AVGO) San Jose, CA	Stock	\$25,001 - \$100,000
Spouse	COMCAST CORPORATION NEW CLASS A (CMCSA) Philadelphia, PA	Stock	\$25,001 - \$100,000
Spouse	HOME DEPOT INCORPORATED (HD) Atlanta, GA	Stock	\$25,001 - \$100,000
Spouse	INGREDION INCORPORATED (INGR) Westchester, IL	Stock	\$1,000 - \$25,000
Spouse	JACOBS ENGR GROUP INCORPORATED (J) Dallas, TX	Stock	\$25,001 - \$100,000

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

Spouse	MICROSOFT CORPORATION (MSFT) Redmond, WA	Stock	\$25,001 - \$100,000
Spouse	ORASURE TECHNOLOGIES INCORPORATED (OSUR) Bethlehem, PA	Stock	\$1,000 - \$25,000
Spouse	PEPSICO INCORPORATED (PEP) Purchase, NY	Stock	\$25,001 - \$100,000
Spouse	ROPER TECHNOLOGIES INCORPORATED (ROP) Sarasota, FL	Stock	\$1,000 - \$25,000
Spouse	SALESFORCE COM INCORPORATED (CRM) San Francisco, CA	Stock	\$25,001 - \$100,000
Spouse	STARBUCKS CORPORATION (SBUX) Seattle, WA	Stock	\$25,001 - \$100,000
Spouse	TARGET CORPORATION (TGT) Minneapolis, MN	Stock	\$25,001 - \$100,000
Spouse	UNION PAC CORPORATION (UNP) Omaha, NE	Stock	\$25,001 - \$100,000
Spouse	VERIZON COMMUNICATIONS INCORPORATED (VZ) New York, NY	Stock	\$25,001 - \$100,000
Spouse	VISA INCORPORATED COM CLASS A (V) Foster City, CA	Stock	\$25,001 - \$100,000
Spouse	MFS INTERNATIONAL DIVERSIFICATION FUND CLASS I N (MDIJX) Boston, MA	Mutual Fund	\$100,001 +
Spouse	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I N/L (MWTIX) Los Angeles, CA	Mutual Fund	\$25,001 - \$100,000
Spouse	INVESCO QQQ (QQQ) Scottsdale, AZ	Mutual Fund	\$25,001 - \$100,000
Spouse	ISHARES TR IBOX INV CP ETF (LQD) San Francisco, CA	Mutual Fund	\$25,001 - \$100,000

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

Spouse	ISHARES TR EAFE GRWTH ETF (EFG) San Francisco, CA	Mutual Fund	\$25,001 - \$100,000
Spouse	SPDR BLOOMBERG BARCLAYS CONVERTIBLE SECURITIES ETF (CWB) Boston, MA	Mutual Fund	\$25,001 - \$100,000
Spouse	ADOBE INC NTS ISIN US00724PAC32 San Jose, CA	Corporate Bond	\$1,000 - \$25,000
Spouse	ALABAMA POWER COMPANY NTS ISIN US010392FN37 Birmingham, AL	Corporate Bond	\$1,000 - \$25,000
Spouse	3M COMPANY MTN ISIN US88579YBG52 St. Paul, MN	Corporate Bond	\$25,001 - \$100,000
Spouse	VISA INC NTS ISIN US92826CAL63 Foster City, CA	Corporate Bond	\$1,000 - \$25,000
Spouse	AMERICAN WTR WKS COMPANY INCORPORATED NEW (AWK) Voorhees, NJ	Stock	\$25,001 - \$100,000
Spouse	COLGATE PALMOLIVE COMPANY (CL) New York, NY	Stock	\$1,000 - \$25,000
Spouse	NIKE INCORPORATED CLASS B (NKE) Beaverton, OR	Stock	\$25,001 - \$100,000
Spouse	NEW PERSPECTIVE FUND CLASS F2 - AMERICAN FUNDS N/L (ANWFX) Indianapolis, IN	Stock	\$25,001 - \$100,000
Spouse	SPDR TECHNOLOGY SELECT SECTOR FUND (XLK) Boston, MA	Mutual Fund	\$25,001 - \$100,000
Spouse	INTEL CORPORATION NTS ISIN US458140AX85 Santa Clara, CA	Corporate Bond	\$1,000 - \$25,000
Spouse	NATIONAL RURAL UTILITIES COOPERATIVE FINANCE CORPORATION Sterling, VA	Corporate Bond	\$1,000 - \$25,000

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

Spouse	ISHARES TR ISHS 1-5YR INVS (IGSB) San Francisco, CA	Mutual Fund	\$1,000 - \$25,000
David Gass	Dreyfus Mid Cap Index A (Sold in 2020) New York, NY	Mutual Fund	\$1,000 - \$25,000
David Gass	Mondelez International, Inc. (Sold in 2020) Deerfield, IL	Stock	\$25,001 - \$100,000
David Gass	First Eagle Global Class 1 (Sold in 2020) New York, NY	Mutual Fund	\$1,000 - \$25,000

Memo:

The listed ownership interests are based on the information and values that were available at the time this disclosure was completed. Because of extreme market fluctuations, absolute accuracy is impossible. To avoid under-reporting, the value category was increased to the next highest level when it appeared reasonable that the asset may have had a value in that higher category at some point during the twelve month period. Some assets reported as category 3 may never have had a value of \$100,001 or more, some assets reported as category 2 may never have had a value of \$25,000 or more, and some assets reported as category 1 may never have had a value of \$1,000 or more.

9. Ownership of Bonds

What to disclose: Bonds issued by a state or local government agency worth more than \$1,000 that you or a member of your household held during the period covered by this Financial Disclosure Statement. Also, check the box to indicate the approximate value of the bonds.

Additionally, if the bonds were either acquired for the first time or completely divested (sold in full) during this period, list the date and check the box whether the bonds were acquired or divested. Otherwise, check "N/A" (for "not applicable") if the bonds were not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

PUBLIC OFFICER OR HOUSEHOLD MEMBER ISSUED BONDS	ISSUING STATE OR LOCAL GOVERNMENT AGENCY	APPROXIMATE VALUE OF BONDS	DISCLOSE IF THE BONDS WERE FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD
Spouse	CONNECTICUT ST, GO BDS, TEACHERS RETIRE FD, TAXABLE SER A	\$1,000 - \$25,000	Acquired 09/09/2020
Spouse	CONNECTICUT ST, TAXABLE GO BDS, TAXABLE SER 2020 A	\$1,000 - \$25,000	Acquired 09/09/2020
Spouse	COLORADO ST BRD GOVERNORS UNIV ENTERPRISE SYS REV, REV BDS, TAXABLE SER 2015B	\$1,000 - \$25,000	Acquired 12/28/2020
Spouse	PENNSYLVANIA ST HIGHER EDL FACS AUTH REV, FEDERALLY TAXABLE BDS, TAXABLE SER AV-2 BUILD AMERICA MUTUAL	\$1,000 - \$25,000	Acquired 09/04/2020

10. Real Property Ownership

What to disclose: Arizona real property (land) and improvements which was owned by you or a member of your household during the period covered by this Financial Disclosure Statement, other than your primary residence or property you use for personal recreation. Also describe the property's location (city and state) and approximate size (acreage or square footage), and check the box to indicate the approximate value of the land.

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check the box to indicate whether the land was acquired or divested. Otherwise, check "N/A" (for "not applicable") if the land was not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

You need not disclose: Your primary residence or property you use for personal recreation.

PUBLIC OFFICER OR HOUSEHOLD MEMBER THAT OWNS LAND	LOCATION AND APPROXIMATE SIZE	APPROXIMATE VALUE OF LAND	DISCLOSE IF THE LAND WAS FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD
David Gass Spouse	2210 E Boulder Creek Ln; Prescott, AZ 86301	\$100,001 +	N/A

Memo:
Raw Land

11. Travel Expenses

What to disclose: Each meeting, conference or other event during the period covered in this Financial Disclosure Statement where you participated in your official capacity and travel-related expenses of \$1,000 or more were paid on your behalf (or for which you were reimbursed) for that meeting, conference, or other event. "Travel-related expenses" include, but are not limited to, the value of transportation, meals, and lodging to attend the meeting, conference, or other event.

You need not disclose: Any meeting, conference, or other event where paid or reimbursed travel-related expenses were less than \$1,000 or your personal monies were expended related to the travel.

NAME OF MEETING, CONFERENCE, OR EVENT ATTENDED IN OFFICIAL CAPACITY AS PUBLIC OFFICER	LOCATION	AMOUNT OR VALUE OF TRAVEL COSTS
N/A	N/A	N/A

B. BUSINESS FINANCIAL INTERESTS

This section requires disclosure of any financial interests of a business owned by you or a member of your household.

12. Business Names

What to disclose: The name of any business under which you or any member of your household owns or did business under (in other words, if you or your household member were self-employed) during the period covered by this Financial Disclosure Statement, which include any corporations, limited liability companies, partnerships, sole proprietorships or any other type of business conducted under a trade name.

Also disclose if the named business is controlled or dependent. A business is "controlled" if you or any member of your household (individually or combined) had an ownership interest that amounts to more than 50%. A business is classified as "dependent," on the other hand, if: (1) you or any household member (individually or combined) had an ownership interest that amounts more than 10%; *and* (2) the business received more than \$10,000 from a single source during the period covered by this Financial Disclosure Statement, which amounted to more than 50% of the business' gross income for the period.

Please note: If the business was either controlled or dependent, check the box to indicate whether it was controlled or dependent. If the business was both controlled *and* dependent during the period covered by this Financial Disclosure Statement, check *both* boxes. Otherwise, leave the boxes blank.

Please note: If a business listed in the foregoing Question 12 was neither "controlled" nor "dependent" during the period covered by this Financial Disclosure Statement, you need not complete the remainder of this Financial Disclosure Statement with respect to that business. If none of the businesses listed in Question 12 were "controlled" or "dependent," you need not complete the remainder of this Financial Disclosure Statement.

PUBLIC OFFICER OR HOUSEHOLD MEMBER OWNING THE BUSINESS	NAME AND ADDRESS OF BUSINESS	DISCLOSE IF THE BUSINESS IS "CONTROLLED" BY OR "DEPENDENT" ON YOU OR A HOUSEHOLD MEMBER
David Gass Spouse	KG CORGI LLC 1724 W Steinway Dr; Phoenix, AZ 85041	Controlled

Memo:

KG Corgi LLC holds title to a townhouse. David Gass's brother, a disabled veteran, lives in the townhouse.

13. Controlled Business Information

What to disclose: The name of each controlled business listed in Question 12, and the goods or services provided by the business.

If a single client or customer (whether a person or business) accounts for more than \$10,000 and 25% of the business' gross income during the period covered by this Financial Disclosure Statement, the client or customer is deemed a "major client" and therefore you must describe what your business provided to this major client in the third column. Also, if the major client is a business, please describe the client's type of business activities in the final column (but if the major client is an individual, write "N/A" for "not applicable".)

If the business does not have a major client, write "N/A" for "not applicable."

You need not disclose: The name of any major client, or the activities of any major client that is an individual.

If you or your household member does not own a business, or if your or your household member's business is not a controlled business, you may leave this question blank.

NAME OF YOUR OR YOUR HOUSEHOLD MEMBER'S CONTROLLED BUSINESS	GOODS OR SERVICES PROVIDED BY THE CONTROLLED BUSINESS	DESCRIBE WHAT YOUR BUSINESS PROVIDES TO ITS MAJOR CLIENT	TYPE OF BUSINESS ACTIVITIES OF THE MAJOR CLIENT (IF A BUSINESS)
KG CORGI LLC	Housing	KG Corgi LLC holds title to a townhouse. David Gass's brother, a disabled veteran, lives in the townhouse.	None

14. Dependent Business Information

What to disclose: The name of each dependent business listed in Question 12, and the goods or services provided by the business. You must describe what your business provided to its major "source of compensation"* in the third column below. Also, if the "source of compensation" is a business, please describe the type of business activities it performs in the final column below (but if the "source of compensation" is an individual, write "N/A" for "not applicable" in the final column below).

If the dependent business is also a controlled business, disclose the business only in Question 13 and leave this question blank.

You need not disclose: The name of any "source of compensation," or the activities of any "source of compensation" that is an individual. If you or your household member does not own a business, or if your or your household member's business is not a dependent business, you may leave this question blank.

* For this section, "source of compensation" is defined as a person or a business that accounts for more than \$10,000 and 50% of the dependent business' gross income during the reporting period.

NAME OF YOUR OR YOUR HOUSEHOLD MEMBER'S DEPENDENT BUSINESS	GOODS OR SERVICES PROVIDED BY THE DEPENDENT BUSINESS	DESCRIBE WHAT YOUR BUSINESS PROVIDES TO SOURCE OF COMPENSATION	TYPE OF BUSINESS ACTIVITIES OF THE SOURCE OF COMPENSATION (IF A BUSINESS)
N/A	N/A	N/A	N/A

15. Real Property Owned by a Controlled or Dependent Business

What to disclose: Arizona real property (land) and improvements which was owned by a controlled or dependent business during the period covered by this Financial Disclosure Statement. Also describe the property's location (city and state) and approximate size (acreage or square footage), and check the box to indicate the approximate value of the land. If the business is one that deals in real property and improvements, check the box that corresponds to the aggregate value of all parcels held by the business during the period covered by this Financial Disclosure Statement.

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the land was acquired or divested. Otherwise, check "N/A" (for "not applicable") if the land was not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a dependent business, you may leave this question blank.

NAME OF CONTROLLED OR DEPENDENT BUSINESS THAT OWNS LAND	LOCATION AND APPROXIMATE SIZE	APPROXIMATE VALUE OF LAND	DISCLOSE IF THE LAND WAS FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD
KG CORGI LLC	4521 N 17th Ave; Phoenix, AZ 85015. The townhouse is approximately 1584 square feet.	\$100,001 +	N/A

Memo:

KG Corgi LLC holds title to a townhouse. David Gass's brother, a disabled veteran, lives in the townhouse.

16. Controlled or Dependent Business' Creditors

What to disclose: The name and address of each creditor to which a controlled or dependent business owed more than \$10,000, if that amount was also more than 30% of the business' total indebtedness at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt".)

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the box to indicate whether it was incurred or discharged. Otherwise, check "N/A" (for "not applicable") if the business debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a controlled or dependent business, you may leave this question blank.

NAME OF CONTROLLED OR DEPENDENT BUSINESS OWING THE QUALIFYING DEBT	NAME AND ADDRESS OF CREDITOR (OR PERSON TO WHOM THE PAYMENTS ARE MADE)	DISCLOSE IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD
N/A	N/A	N/A

Memo:

KG Corgi LLC holds title to a townhouse. The property is owned free and clear. There are no creditors.

17. Controlled or Dependent Business' Debtors

What to disclose: The name of each debtor who owed more than \$10,000 to a controlled or dependent business, if that amount was also more than 30% of the total indebtedness owed to the controlled or dependent business at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt".) Also check the box to indicate the approximate value of the debt by financial category.

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the box to indicate whether it was incurred or discharged. Otherwise, check "N/A" (for "not applicable") if the business debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a controlled or dependent business, you may leave this question blank.

NAME OF CONTROLLED OR DEPENDENT BUSINESS OWED THE DEBT	NAME OF DEBTOR	APPROXIMATE VALUE OF DEBT	DISCLOSE IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD
N/A	N/A	N/A	N/A

Memo:

David Gass's brother, a disabled veteran, lives in the townhouse. The property is owned free and clear. There are no debtors.